



**TOWN OF MANSFIELD
Facilities Management Department
Request For Proposal (RFP)**

Replacement of Boilers at Annie Vinton Elementary School

Submission Deadline:

3:00 p.m., Thursday June 9, 2016

Submission Contact and Address:

Office of the Director of Finance
Finance Department
4 South Eagleville Road
Mansfield, Connecticut 06268
860-429-3326

www.mansfieldct.gov

**TOWN OF MANSFIELD
REQUEST FOR PROPOSAL
Vinton School Boiler / Steam Heating System**

Background:

The facilities of the Town of Mansfield are located east of Hartford in Mansfield, Connecticut. The Town takes care of four school buildings, grades pre-Kindergarten to eighth. Additional facilities the department maintains are Mansfield Daycare, Mansfield Community Center, Senior/Wellness Center, Mansfield Library, Maintenance Shop, Town Hall, Public Works Complex, Three Small Park Buildings, Historical Society, Old Eagleville School House, and Three Fire Stations.

The Facilities Management Department has been maintaining these buildings through funds from the operating budget and also making capital improvements as needed.

Overview:

The Facilities Department is requesting a proposal from boiler professionals experienced in replacement of boilers, as well as the piping of convenience of heating. The work will include the conversion of a steam heating system to a hot water system as well as switching fuels from an oil system to an LP gas system. We request that companies provide pricing for the complete conversion of the system. Price shall be a lump sum which includes the additional costs as shown on the price proposal submission form (Attachment A). The selected vendor will need to submit and cover fees for any required permits to complete the work. The selected vendor will work primarily with the Facilities Management Department with input from the Principals, Superintendent of Schools, and Building Directors.

Scope of Work:

The existing conditions for the Vinton School Boiler / Steam Heating System consists of two (2) Burnham oil fired boilers which are 1,893,000 BTU's each. The selected vendor shall be responsible for the following scope of services.

Task 1: Removal of the Burnham Oil Fired Steam Boilers

Remove the existing two (2) oil fired steam boilers and all steam related heating equipment in the boiler room and all piping in rooms heated with steam. Cabinets to remain if new heating system piping can be installed in existing cabinets. Removal will also include condensate pump and tank, steam radiation, steam traps and associated piping and exhaust. The removal shall also include any work needed to access the space above the current drop ceilings. The replacement or the use of the existing drop ceiling tiles and grid work shall be indicated and included in this task. This task shall include all electrical, plumbing, structural (including all ceiling repair or replacement), and mechanical work associated with the complete removal of existing piping and equipment. All permits required for this work is the responsibility of the selected vendor.

Task 2: Installation of LP Gas Boilers and New Radiation in Existing Cabinets

Install two (2) new LP Gas, energy efficient modulating boilers, equipment, and piping in the boiler room. This task shall include new pumps, VFD's, and new outside fresh air supply to the boiler room. Install new LP gas system for the new boilers, this system shall include tank/tanks, pad/pads, and all associated piping. The tank location shall be determined by the Facilities Management Department. The new equipment shall be connected to the existing Alerton automated building system and shall include full integration with the existing control system. The individual room controls shall be included as part of this task. All of the new main supply and return piping shall be installed above the ceilings in hallways. All of the supply and return piping to all of the rooms where steam radiation now exists, shall be installed above the ceilings in all rooms. Install new radiation in the existing cabinets. All piping shall be insulated; any exposed piping to the radiation in the rooms shall have a vinyl cover over the insulation. All rooms will have to have the heat load recalculated to determine amount of radiation needed for each room. This task shall include all electrical, plumbing, structural (including all ceiling repair or replacement), and mechanical work associated with the complete installation of all new equipment and piping. All permits required for this work is the responsibility of the selected vendor. All relocation of the furniture and/or equipment as required for installation of the new heating system shall be completed by the Facilities Management Department. Two (2) copies and one (1) digital copy of the "As Built" drawings shall be supplied to the Facilities Management Department when all work is completed and approved.

Submission Procedures:

Qualifications and proposal attachments must be in a sealed envelope and received prior to 3:00 p.m., Thursday, June 9, 2016, at the Office of the Director of Finance, 4 South Eagleville Road, Mansfield, CT 06268. An original and three copies of both the pricing and non-pricing information must be included in your submission. Proposals received after that time will not be considered. Submissions will be reviewed by staff. Submittals may be withdrawn 90 days after opening, if no award has been made.

A **mandatory** pre-bid walkthrough will be held Thursday, May 26, 2016, at 4:00 p.m., starting in the Office at the Annie Vinton Elementary School, 306 Stafford Road, Mansfield, CT. The project scope, site, and conditions will be reviewed, as well as any questions and answers regarding the project.

Submission Requirements:

Those companies applying must be licensed, certified, or have a proven record to perform the work, and provide evidence of experience in replacement of boilers, the piping of convenience of heating, as well as cost estimation of similar projects in the Northeast region of the United States. Submissions must include the following sections:

- Letter of Transmittal

- Qualifications Statement (include brief history of firm, credentials, etc.)
- Scope of Services
- Specialized Expertise
- Similar Projects/References
- Schedule of Fees (Attachment A)
- Plan of Services: A concise and complete description of the work to be performed, including an explanation that your company understands the project, its approach to the work, and the key issues to resolve.

Evaluation Criteria & Right to Reject:

The Facilities Management Department may reject any or all proposals or submittals for such reason as it deems proper. In acceptance of proposals or submittals, the Facilities Management Department will be guided by what it deems to be in the best interest of the Town at the time of selection. The Facilities Management Department also reserves the right to negotiate further with one or more firms as to any of the features of their proposals or submittals and to accept modifications to the work and/or price when such action will be in the best interest of the Town. The award will be made to the most responsible qualified bidder, taking into consideration all criteria included in this request for proposal. The cost portion of the evaluation will be based upon a lump sum proposal fee submitted and will also be used as part of the criteria for selection.

Any and all work will need to be accomplished in the projected timeframes put forth in this Request for Proposal, or in any attached list by location and projected completion work dates. Jobs shall be awarded as a package based on the best value for the Town of Mansfield.

Responses will be reviewed and evaluated by the Facilities Management Department and the candidates may be invited to participate in oral interviews. Criteria to be considered in evaluating proposals will include:

- Qualifications and Required Licensing or Certification for Stated Work
- Minimum Liability Insurance for Stated Work
- Ability of Professional Personnel
- Past Record and Experience
- Willingness to Meet Time Requirements (Completion Date: August 26, 2016)
- Location
- Workload of the Firm
- Technical Experience of the Company
- Responsiveness and Clear Understanding of the Work to be Performed
- Strength of Client Recommendations

- Attendance at Pre-Bid Walkthrough
- Local (City, County, Regional) Experience

Vendor Warranty:

Vendor hereby agrees to:

- Perform contract in accordance with the specifications and bid under which the contract was awarded.
- Warranty the products or services against defective material or workmanship and to repair or replace any damage or marring of products occasioned in transit.
- Furnish adequate protection from damage for all work and to repair damages of any kind, for which he or his workmen are responsible, to the premises or equipment, to his own work or to the work of other contractors.
- Indemnify and hold harmless the Town, its agencies, agents, offices, servants or employees from any action, law suit or judgment arising out of defects in its products, materials or workmanship, negligence in its activities or breach of its agreement with the Town, including the cost of defense and counsel fees.

Articles which in any respect fail to conform to the specifications upon which the award is made will be rejected and held subject to the Bidder's disposition and expense.

Insurance:

The Contractor shall procure and maintain insurance against claims for injuries or losses to persons or property that are alleged to have arisen in connection with activities of the Contractor and any agents, representatives, subcontractors or employees. Insurance companies must be licensed by the State of Connecticut or otherwise acceptable to the Town. The cost of such insurance, including required endorsements or amendments, shall be the sole responsibility of the Contractor. Full disclosure of any non-standard exclusions is required for all required coverages.

The coverage indicated below at not less than the specified limits are required for this project (agreement):

- Comprehensive General Liability coverage naming the TOWN OF MANSFIELD as additional insured, written on an occurrence basis: \$1,000,000 per occurrence, \$2,000,000 aggregate
- Automobile Liability coverage, including coverage for hired or borrowed autos: \$2,000,000 per accident-combined single limit
- Workers' Compensation Coverage, (as per Connecticut law and custom) and employer's liability coverage \$100,000/\$500,000/\$100,000 limits or "Certificate of Solvency" issued by Connecticut Workers' Compensation Commission for self-insurers.

The required insurance form shall be certified by a duly authorized representative of the insurer(s) and incorporated into and made a part of this agreement. Properly executed certificates signifying adequate coverage in effect for the duration of the contract with renewal certificates issued not less than 30 days prior to expiration of a policy period, must be submitted with the bid on file with the Town prior to commencement of this project (agreement).

Insurance as required by the Town of Mansfield shall be furnished by the Contractor to the Town. The Town of Mansfield shall be listed as “also insured” by name on all insurance certifications. Failure of the Contractor to maintain all required insurance in accordance with the Contract shall constitute a material breach of the Contract and shall subject the Contractor the Town’s withholding liquidated damages from the Contractor in the amount of ten percent (10%) of the total Contract price, as it may be amended by construction orders, subject to the continued commercial availability of such coverage.

The Contractor shall not commence work under this contract until he has obtained all the insurance required above of and such insurance has been approved by the Town. The Contractor shall furnish the Town with certificates showing the type, amount, class of operations covered, effective dates, and dates of expiration of policies. Such certificates shall also contain substantially the following statements: "The insurance covered by this certificate will not be canceled or materially altered, except after ten (10) days written notice has been received by the Town." The Town of Mansfield shall be listed as “additional insured” by name on all such insurance certifications.

Indemnification:

The Contractor is aware of and agrees that he is bound by the following indemnification language:

To the fullest extent permitted by law, the Contractor shall release, defend, indemnify, and hold harmless the Town of Mansfield, their respective boards, commissions, officers, officials, employees, agents, representatives, and servants from any and all suits, claims, losses, damages, costs (Including without limitation reasonable attorneys' fees), compensation, penalties, fines, liabilities or judgments or any name or nature for:

- Bodily injury, sickness, disease, or death; and/or
- Damage to or destruction of property, real or personal; and/or
- Financial losses (including, without limitation, those caused by loss of use)

sustained by any person or concern, including officers, employees, agents, Subcontractors or servants of the Town, or the Contractor, or by the public, which is cause or alleged to have been caused in whole or in part by the negligent act(s) or omission(s) of the Contractor, its officers, employees, agents, or Subcontractors, in the performance of this Agreement or from the inaccuracy of any representation or warranty of the Contractor contained in the Contract Documents. This indemnity shall not be affected by other portions of the Agreement relating to insurance requirements.

Applicable Laws:

All applicable federal and state laws, county, bi-county, local, and municipal ordinances, and the orders, rules and regulations of all authorities having jurisdiction over this work shall apply to the Contract throughout the term of the agreement, and they will be deemed to be included in the contract the same as though written out in full.

Additional Information:

Additional information may be obtained by contacting:

Allen N. Corson, Director
Facilities Management Department
Phone: 860-429-3326
Email: corsonan@mansfieldct.org

ATTACHMENT A
PRICE PROPOSAL SUBMISSION FORM

ATTACHMENT A
PRICE PROPOSAL SUBMISSION FORM
Replacement of Boilers at Annie Vinton Elementary School

Name of Vendor: _____

Address: _____

City / Town: _____

State: _____ Zip Code: _____

Replacement of Boilers at Annie Vinton Elementary School	
<u>TASK</u>	<u>LUMP SUM</u>
Task 1: Removal of the Burnham Oil Fired Steam Boilers	
Task 2: Installation of LP Gas Boilers & New Radiation in Existing Cabinets	
Total Lump Sum	

SUBMITTED BY:

Name / Title: _____

Signature: _____

Date: _____

ATTACHMENT B
INSURANCE REQUIREMENTS



INSURANCE REQUIREMENTS FOR CONTRACTORS

Minimum Limits of Liability

Workers Compensation	Statutory
Employer's Liability – each accident	\$ 100,000
Disease, each employee	\$ 100,000
Disease, policy limit	\$ 500,000

Commercial General Liability Insurance

Each Occurrence	\$ 1,000,000
Fire Damage	\$ 100,000
Medical Expense	\$ 5,000
Personal & Adv. Injury	\$ 1,000,000
General Aggregate	\$ 2,000,000
Products & Completed Operations Agg.	\$ 2,000,000

Business Automobile Liability Insurance

Owned, Non-Owned & Hired Auto Limit	\$ 1,000,000
Combined Bodily Injury & Property Damage (each accident)	\$ 1,000,000
Property Insurance – if applicable	
Special Risk including Theft	
\$1,000 Deductible	

Excess Liability

Each Occurrence	\$ 5,000,000
Aggregate	\$ 5,000,000

Certificates of insurance must be provided to the Town after being awarded the contract and before doing any work. All insurance shall be provided by companies lawfully authorized to do business in the State of Connecticut with an A.M. Best Rating of A-VII or better. The Town of Mansfield shall be named as an additional insured on the General Liability, Automobile Liability and Excess Liability (if needed) insurance policies.

Each policy of insurance shall include a waiver of subrogation in favor of the Town of Mansfield and shall provide no less than thirty (30) days' notice to the Town in the event of a cancellation or change in conditions or amounts of coverage.

ATTACHMENT C
QUESTIONNAIRE CONCERNING OCCUPATIONAL HEALTH
& SAFETY



**Town of Mansfield
Department of Facilities Management
QUESTIONNAIRE CONCERNING OCCUPATIONAL HEALTH
AND SAFETY**

The Town of Mansfield is a political subdivision of the State of Connecticut and it is required by various state statutes and regulations and by its own Town Code of Ordinances to obtain background information on prospective contractors prior to entering into a contract. The questions below are designed to assist the Town of Mansfield in procuring this information.

		Yes	No						
1.	Has the Bidder been cited for three or more willful or serious violations of any occupational safety and health act?	<input type="checkbox"/>	<input type="checkbox"/>						
2.	Has the Bidder received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?	<input type="checkbox"/>	<input type="checkbox"/>						
3.	Has the Bidder been the recipient of one or more ethical violations from the State of Connecticut Ethics Commission during the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?	<input type="checkbox"/>	<input type="checkbox"/>						
4.	The Bidder shall provide three (3) References for work completed within the last five (5) years.								
4a.	<table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">Designer/Owner</td> <td style="width: 50%;">Contract Value (\$)</td> </tr> <tr> <td>Contact Name</td> <td>Phone No</td> </tr> <tr> <td colspan="2">Work Description</td> </tr> </table>	Designer/Owner	Contract Value (\$)	Contact Name	Phone No	Work Description			
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Designer/Owner	Contract Value (\$)								
Contact Name	Phone No								
Work Description									

ATTACHMENT D
CERTIFICATION OF NON-COLLUSION

CERTIFICATION OF NON-COLLUSION

The Undersigned certifies, under penalties of perjury:

That this Proposal has been made by the Proposer independently, and has been submitted without collusion, and without any agreement, understanding, or planned common course of action with any other vendor of materials, supplies, equipment, or services described in this procurement document, designed to limit independent bidding or competition;

That the contents of the proposal have not been communicated by the Proposer or it's employees or agents to any person not an employee or agent of the Proposer or it's surety or any bond furnished with the proposal, and will not be communicated to any such person prior to the official awarding of this procurement.

That I have fully informed myself regarding the accuracy of the statement made in the certificate.

SIGNATURE: _____

NAME: _____

FIRM: _____

TITLE: _____

DATE: _____

CONTRACT DOCUMENTS AND SPECIFICATIONS

REPLACEMENT OF BOILERS AT ANNIE VINTON ELEMENTARY SCHOOL

TOWN OF

MANSFIELD, CONNECTICUT

June 2016

TOWN OF MANSFIELD

4 SOUTH EAGLEVILLE ROAD

MANSFIELD, CONNECTICUT 06268

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Appendix A - State of CT - Prevailing Wage Rates

CONTRACT AGREEMENT

TOWN OF MANSFIELD

DEPARTMENT OF FACILITIES MANAGEMENT

REPLACEMENT OF BOILERS AT ANNIE VINTON ELEMENTARY SCHOOL

This agreement made and entered into on the _____ day of _____, 2016, between:

THE TOWN OF MANSFIELD, acting by and through its Director of Finance, and hereinafter referred to as "Town",

_____, a corporation organized and existing under the laws of the State of _____, a partnership, or an individual doing business as _____ and hereinafter referred to as "Contractor,"

WITNESSETH;

That for and in consideration of the payments and agreements hereinafter mentioned, the CONTRACTOR hereby agrees with the TOWN to commence and complete the work described as follows:

REPLACEMENT OF BOILERS AT ANNIE VINTON ELEMENTARY SCHOOL

Hereinafter called the PROJECT, for the sum of _____ Dollars (\$ _____) and all extra work in connection therewith, under the terms as stated in the General and Special Conditions of the Contract; and at his (its or their) own proper cost and expense to furnish all the materials, supplies, machinery, equipment, tools, superintendence, labor insurance, and other accessories and services necessary to complete said project in accordance with the conditions and prices stated in the Price Proposal Submission Form/Proposal, the General Conditions and Special Conditions of the contract, the plans, which include all maps, plots, blueprints, and other drawings and printed or written explanatory matter thereof, the specifications and contract documents all of which are attached hereto and made a part hereof and collectively evidence and constitute the contract.

The CONTRACTOR hereby agrees to commence work under this contract on or before _____, and to fully complete the Replacement of Boilers at Annie Vinton Elementary School Project prior to August 26, 2016, completion date for that project. The CONTRACTOR further agrees to pay, as liquidated damages, the sum of \$500 for each consecutive calendar day thereafter as hereinafter provided in the General Conditions. The TOWN agrees to pay the CONTRACTOR in current funds for the performance of the contract, subject to additions, and deductions as provided in the General Conditions of the contract, and to make payments on account thereof as provided in Section 22, "Payment to Contractor" of the General Conditions.

IN WITNESS WHEREOF, the parties to these presents have executed this contract in two (2) counterparts, each of which shall be deemed an original, in the year and day first mentioned above.

CONTRACTOR

TOWN

Director of Finance

(SEAL)

Recommended for approval:

Director of Facilities Management

Date

**SUPPLEMENT TO
CONTRACT
AGREEMENT
TOWN OF MANSFIELD
DEPARTMENT OF FACILITIES MANAGMENT
Replacement of Boilers at Annie Vinton Elementary School**

1. In the event of inconsistencies among the Contract Documents, this Document and the basic Contract Agreement it supplements, shall govern. If there are inconsistencies within or between parts of the Contract Documents that are not resolved by application of the immediately foregoing sentence, or between the Contract Documents and applicable standards, codes and ordinances, the Contractor shall (1) provide the better quality or greater quantity of work or (2) comply with the more stringent requirement. The terms and conditions of this paragraph shall not, however, relieve the Contractor of any of its obligations set forth elsewhere in the Contract Documents.

2. In performing its obligations under this Contract, the Contractor shall comply with all applicable statutes, laws, ordinances, regulations, codes, rules or orders of, or issued by, any governmental body having jurisdiction over the Performance of the Work

3. Each and every provision of law and Clause required by law to be inserted in this Contract shall be deemed to be inserted herein and the Contract shall be read and enforced as though it were included herein, and if through mistake or otherwise, any such provision is not inserted, or is not correctly inserted, then upon the application of either party, the Contract shall forthwith be physically amended to make such insertion.

4. The rights stated in the Contract Documents are cumulative and not in limitation of any Rights of the Town granted in the Contract Documents, at law, or in equity.

5. In no event shall the Town or its agents have control over, charge of, or any responsibility for construction means, methods, techniques, sequences or procedures or for safety precautions and programs in connection with the Work, notwithstanding any of the rights and authority granted the Town in the Contract Documents.

6. If any governmental body having jurisdiction over the Work requires licenses or registrations for the performance of the Work, or any part thereof, the Contractor shall hold said valid licenses or registrations as may be required by law to prosecute the Work to completion. If any part of the Work for which such a license or registration is required is to be performed by Subcontractors of any tier, the Contractor shall take reasonable actions to ensure that any such Subcontractor holds such valid licenses or registrations as may be required by law to prosecute said Work to completion.

7. To ensure that any Subcontractor is bound by the terms set forth in this Agreement between the Town and Contractor, the Contractor agrees to include a provision in each

Subcontract that incorporates by reference the terms of this Agreement and the Contract Documents incorporated by reference herein that shall apply to all work performed on this project

by any Subcontractor in the following or similar terms: “The contractor and subcontractor shall be mutually bound by the terms of this agreement, and, to the extent that provisions of the prime contract apply to the work of the subcontractor, the contractor shall assume toward the subcontractor all obligations and responsibilities that the owner, under the prime contract, assumes toward the contractor, and the subcontractor shall assume toward the contractor all obligations and responsibilities which the contractor, under the prime contract, assumes toward the owner and the architect.

8. The Contractor agrees that any contract it makes with any Subcontractor to do any of the Work pursuant to this Agreement shall contain a provision that the [Sub]contractor shall act as a Subcontractor to the Contractor, and that the Subcontractor agrees that it shall have no rights of any kind against the Town.

9. The Town will not be liable for damages to the Contractor as a result of delays suffered in completing the project. Extensions of time are the sole remedy available to the Contractor for this contingency.

10. The acceptance of Final Payment by the Contractor shall constitute a waiver of all claims by the Contractor, except those previously made in writing and designated as unresolved by the Contractor. In return for receipt of any partial payment, the Contractor or any Subcontractor receiving such partial payment shall issue a release of all claims through the date covered by any such partial payment in exchange for receipt of such payment.

11. By executing this Agreement, the Contractor warrants that its authorized representative has examined and compared the various components of the design documents, and has otherwise satisfied himself or herself to their accuracy, and thereby releases and holds the Town harmless from any liability for damages caused by either party’s negligence to the full extent permitted by law.

12. Even if there is an ongoing dispute between the parties to this Agreement, the parties agree that the Work required by this Agreement shall continue until the project is completed.

13. The Work in this Contract should not interfere with safe operation of adjacent buildings and site. If interference appears possible because of new connections to existing work or other reasons, the Work involved must be done at a time and in a manner directed by the Town as a part of the Contract.

14. The Parties to this Agreement will make a good faith effort to resolve, without resort to litigation any dispute between or among the Town, Contractor, Consultants or Subcontractors.

15. The Contractor agrees to participate in mediation when required to do so by the Town to resolve a dispute with each other.

16. If, after good faith effort, either party determines that either a mediator cannot be agreed upon, or mutually agree ground rules cannot be agreed upon, either party may give notice of its intent to

litigate. No litigation may commence earlier than sixty (60) days after sending notice of intent to litigate, unless failure to commence litigation is reasonably likely to result in demonstrable harm.

17. If the dispute cannot be resolved by the principals during the process, then either party may bring the dispute to a court of competent jurisdiction, namely, the Superior Court for the Judicial District of Tolland at Rockville. The notice period for litigation shall be limited to sixty (60) days.

18. Should a subcontractor, at any time, refuse or neglect to supply a sufficiency of properly skilled workers or of material of the proper quality or quantity, or fail in any respect to prosecute the Work required of such subcontractor pursuant to the contract between the subcontractor and the Contractor with competence, promptness and diligence, or fail in the performance of any of its covenants with the Contractor, in addition to any rights of the Contractor to address any such situation, the Town may at its option terminate the Agreement between the Contractor and such subcontractor after serving a three days written notice to the Contractor and such subcontractor. In the case of such discontinuance by the Town, the Town shall ensure that the subcontractor is paid the fair value of such subcontractor's work performed and materials supplied to the project to the date of termination of the contract of the subcontractor by the Town.

So agreed:

CONTRACTOR

TOWN

Director of Finance

Director of Facilities Management

Date

EXHIBIT I

Contractor's Indemnification

The Contractor is aware of and agrees that he is bound by the following indemnification language:

To the fullest extent permitted by law, the Contractor shall release, defend, indemnify, and hold harmless the Town of Mansfield, their respective boards, commissions, officers, officials, employees, agents, representatives, and servants from any and all suits, claims, losses, damages, costs (Including without limitation reasonable attorneys' fees), compensation, penalties, fines, liabilities or judgments or any name or nature for:

- Bodily injury, sickness, disease, or death; and/or
- Damage to or destruction of property, real or personal; and/or
- Financial losses (including, without limitation, those caused by loss of use)

sustained by any person or concern, including officers, employees, agents, Subcontractors or servants of the Town, or the Contractor, or by the public, which is cause or alleged to have been caused in whole or in part by the negligent act(s) or omission(s) of the Contractor, its officers, employees, agents, or Subcontractors, in the performance of this Agreement or from the inaccuracy of any representation or warranty of the Contractor contained in the Contract Documents. This indemnity shall not be affected by other portions of the Agreement relating to insurance requirements.

STATE OF CONNECTICUT:

COUNTY OF:

Signed:

Contractor

By _____

Address _____

Date _____, 2016

Subscribed and Sworn to before me on
this ____ day of _____, 2016.

Notary Public

GENERAL CONDITIONS

1. Contract and Contract Documents

The plans, specifications, and addenda shall form part of the contract and provisions thereof shall be as binding upon the parties hereto as if they were herein fully set forth. The table of contents, titles, headings, running headlines and marginal notes contained herein and in said documents are solely to facilitate reference to various provisions of the contract documents and in no way affect, limit or cast light on the interpretation of the provisions to which they refer.

2. Definitions

The following terms as used in these General Conditions are respectively defined as follows.

A. "Contractor" - A person, firm or corporation with whom this contract is made by the Town.

B. "Subcontractor" - A person, firm or corporation supplying labor, equipment or materials for work at the site of the project for, and under separate contract or agreement with, the Contractor.

C. "Work on (at) the project" - Work to be performed at the locations of the project including the transportation of materials, equipment and supplies to or from the location(s) of the project by the employees of the contractor and any subcontractor.

D. "Owner or Town" - The Town of Mansfield, Connecticut, acting by and through its Town Manager, Director of Facilities Management, Director of Finance, or their authorized representative.

E. "Engineer" - The Town of Mansfield Director of Facilities Management, or his authorized representative.

3. Materials, Services and Facilities

It is understood that, except as otherwise specifically stated in the contract documents, the Contractor shall provide and pay for all materials, labor, tools, equipment, water, light, power, transportation, superintendence, temporary construction of every nature, and all other services and facilities of every nature whatsoever necessary to execute, complete, and deliver the work within the specified time. Any work necessary to be performed after regular hours, on Sundays or Legal Holidays, shall be performed without additional expense to the Owner.

4. Contractor's Title to Material

No materials or supplies for the work shall be purchased by the Contractor or by any Subcontractor subject to any chattel mortgage or under a conditional sale contract or other agreement by which an interest is retained by the seller. The Contractor warrants that he has

good title to all materials and supplies used by him in the work, free from all liens, claims or encumbrances.

5. Inspection and Testing of Materials

All materials and equipment used in the construction of the project shall be subject to adequate inspection and testing in accordance with accepted standards. The laboratory or inspection agency shall be as stipulated by the Owner. The Owner will pay for all laboratory inspection services directly, and not as part of the contract.

The Contractor shall supply in a timely fashion samples of any materials required to be tested along with certified test reports and certificates of compliance when required. No material shall be incorporated into the work without testing. Any material incorporated into the work and found to be deficient will be removed or replaced at the Contractor's sole expense.

Materials of construction, particularly those upon which the strength and durability of the finished product may depend, shall be subject to inspection and testing to establish conformance with specifications and suitability for users intended.

6. "Or Equal" Clause

Whenever a material, article or piece of equipment is identified in the project documents by reference to manufacturers' or vendors' names, trade-names, catalogue numbers, etc., it is intended merely to establish a standard; and, any material, article or equipment of other manufacturers and vendors which will perform adequately the duties imposed by the general design will be considered equally acceptable provided the material, article, or equipment so proposed is, in the opinion of the Town, of equal substance and function. It shall not be purchased or installed by the Contractor without the Town's written approval.

7. Patents

The Contractor shall hold and save the owner and its officers, agents, servants, and employees harmless from liability of any nature or kind, including cost and expenses for, or on account of, any patented or unpatented invention, process, article, or appliance manufactured or used in the performance of the contract, including its use by the owner, unless otherwise specifically stipulated in the Contract Documents. If the Contractor uses any design, device or materials covered by letters, patent or copyright, he shall provide for such use by suitable agreement with the Owner of such patented or copyrighted design, device or material. It is mutually agreed and understood that, without exception, the contract prices shall include all royalties or costs arising from the use of such design, device or materials, in any way involved in the work. The Contractor and/or his Sureties shall indemnify and save harmless the Owner of the project from any and all claims for infringement by reason of the use of such patented or copyrighted design, device or materials or any trademark or copyright in connection with work agreed to be performed under this contract, and shall indemnify the Owner for any cost, expense or damage which it may be

obliged to pay by reason of such infringement at any time during the prosecution of the work or after completion of the work.

8. Surveys, Permits and Regulations

Unless otherwise expressly provided for in this contract, the Owner will furnish to the Contractor control survey points only for the execution of the work, and the Town shall provide all surveying necessary for the layout of the work. The Contractor shall execute, procure and pay for all permits, licenses and approvals necessary for the execution of his contract. The Contractor shall comply with all laws, ordinances, rules, orders, and regulations relating to the performance of the work.

The Contractor is hereby notified that all permit and permit applications contained herein shall be made a part of this Contract, and that the Contractor shall be bound to comply with all requirements of such permits and permit applications as though the Contractor were the permittee. If at the time the permit is received its contents differ from that which is outlined in the application, the permit shall govern.

9. Contractor's Obligations

The Contractor shall and will, in good workmanlike manner, do and perform all work and furnish all supplies and materials, machinery, equipment, facilities and means, except as herein otherwise expressly specified, necessary or proper to perform and complete all the work required by this contract within the time herein specified, in accordance with the provisions of this contract and said specifications and in accordance with the plans and drawings covered by this contract and any and all supplemental plans and drawings, and in accordance with the directions of the Town as given from time to time during the progress of the work. The Contractor shall observe, comply with, and be subject to all terms, conditions, requirements, and limitations of the contract and specifications, and shall do, carry on, and complete all work to the satisfaction of the Town.

10. Weather Conditions

In the event of temporary suspension of work, or during inclement weather, or whenever the Town shall direct, the Contractor will, and will cause his Subcontractors to protect carefully insofar as is reasonably possible given the nature of the work, his and their work and materials against damage or injury from the weather. If, in the opinion of the Town, any work or materials shall have been damaged or injured by reason of failure on the part of the Contractor or any of his subcontractors to so protect his work, such material shall be removed and replaced at the expense of the Contractor.

11. Protection of Work and Property--Emergency

The Contractor shall at all times safely guard the Owner's property from injury or loss in connection with this contract. He shall at all times safely guard and protect his own work, and that of adjacent property, from damage. The Contractor shall replace or make good any

such damage, loss or injury unless such be caused directly by errors contained in the contract or by the Owner, or his duly authorized representative.

In case of an emergency which threatens loss or injury of property and/or safety of life, the Contractor will be allowed to act, without previous instructions from the Town, in a diligent manner. He shall notify the Town immediately thereafter. Any claim for compensation by the Contractor due to such extra work shall be promptly submitted to the Town for approval.

Where the Contractor has not taken action but has notified the Town of an emergency threatening injury to persons or damage to the work of any adjoining property, he shall act as instructed or authorized by the Town.

The manner of reimbursement claimed by the Contractor on account of any emergency action shall be determined in the manner provided in Paragraph 14 of the General Conditions.

12. Reports, Records and Data

The Contractor shall submit to the Owner, in a format satisfactory to the Town, such schedule of quantities and costs, progress schedules, payrolls, reports, estimates, records and other data as the Owner may request concerning work performed or to be performed under this contract. Certified payroll records indicating the payment of all labor on this contract shall be submitted to the Owner along with all requests for payment.

13. Superintendence by Contractor

At the site of the work, the Contractor shall designate one person who shall have full authority to act for the Contractor. It is understood that such representative shall be acceptable to the Town.

14. Changes in Work

No changes in the work covered by the approved contract documents shall be made without having prior written approval of the Owner. Charges or credits for the work covered by the approved change shall be determined by one or more, or a combination of the following methods:

- A. Unit bid prices previously approved
- B. An agreed lump sum
- C. The actual cost of:
 - 1. Labor, including foremen;
 - 2. Materials entering permanently into the work;
 - 3. The ownership or rental cost of construction plant and equipment during the time of use on the extra work;
 - 4. Power and consumable supplies for the operation of power equipment;

5. Insurance;
6. Social Security and old age and unemployment contributions.

To the cost under 14 (c), there shall be added a fee to be agreed upon but not to exceed fifteen percent (15%) of the estimated cost of the work. The fee shall be compensation to cover the cost of supervision, overhead, bond, profit and any other general expenses.

15. Extras

Without invalidating the contract, the Owner may order extra work of the kind bid upon or make changes by altering, adding to or deducting from the work, the contract sum being adjusted accordingly. All the work of the kind bid upon shall be paid for at the price stipulated in the proposal, and no claims for any extra work or materials shall be allowed unless the work is ordered in writing by the Owner and the price is stated in the order.

16. Time for Completion and Liquidated Damages

It is hereby understood and mutually agreed by and between the Contractor and Owner, that the date of beginning and the time for completion as specified in the contract of work to be done hereunder are ESSENTIAL CONDITIONS of this contract; and it is further mutually understood and agreed that the work embraced in this contract shall be commenced on or before the date specified.

The Contractor agrees that said work shall be prosecuted regularly, diligently, and uninterruptedly at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the Contractor and the Owner, that time for the completion of the work described herein is a reasonable time for the completion of the same, taking into consideration the average climatic range and usual industrial conditions prevailing in this locality.

If said Contractor shall neglect, fail or refuse to complete the work within the time herein specified, or any proper extension thereof granted by the Owner, then the Contractor does hereby agree, as a part consideration for the awarding of this contract, to pay the Owner the amount specified in the contract, not as a penalty but as liquidated damages for such breach of contract as hereinafter set forth, for each and every calendar day that the Contractor shall be in default after the time stipulated in the contract for completing the work.

It is further agreed that time is of the essence of each and every portion of this contract and of the specification wherein a definite and certain length of time is fixed for the performance of any act whatsoever; and where under the contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall be of the essence of this contract. Provided, that the Contractor shall not be charged with liquidated damages or any excess cost when the Owner determines that the Contractor is without fault and the Contractor's reasons for the time extension are acceptable to the Owner; Provided further, that the Contractor shall not be charged with liquidated damages or any excess cost when the delay in completion of the work is due:

- A. To any preference, priority or allocation order duly issued by the government of the United States or the State of Connecticut.
- B. To unforeseeable cause beyond the control and without the fault or negligence of the Contractor, including but not restricted to, acts of God, or of the public enemy, acts of the Owner, acts of another contractor in the performance of a contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and severe weather;
- C. To any delays of subcontractors or suppliers occasioned by any of the causes specified in subsections a and b of this article: Provided further, that the Contractor shall, within ten (10) days from the beginning of such delay, unless the Owner shall grant a further period of time prior to the date of final settlement of the contract, notify the Owner, in writing, of the cause of delay, who shall ascertain the facts and extent of the delay and notify the Contractor within a reasonable time of its decision in the matter.

17. Correction of Work

All work, all materials, whether incorporated in the work or not, all processes of manufacture, and all methods of construction shall be at all times and places subject to the inspection of the Owner who shall be the final judge of the quality and suitability of the work, materials, processes of manufacture and methods of construction for the purposes for which they are used. Should they fail to meet his approval they shall be forthwith reconstructed, made good, replaced and/or corrected, as the case may be, by the Contractor at his own expense. Rejected material shall immediately be removed from the site. If, in the opinion of the Owner, it is undesirable to replace any defective or damaged materials or to reconstruct or correct any portion of the work injured or not performed in accordance with the contract documents, the compensation to be paid to the Contractor hereunder shall be reduced by such amount as in the judgment of the Owner shall be equitable.

18. Conditions Found Different

Should the Contractor encounter conditions at the site materially differing from those indicated in the contract documents, he shall immediately give notice to the Owner of such conditions before they are disturbed. The Owner will thereupon promptly investigate the conditions, and if he finds that they materially differ from those indicated in the Specifications, he will at once make such changes in the contract documents as he may find necessary, and any increase or decrease of cost resulting from such changes is to be adjusted in the manner provided in paragraph 14 of the General Conditions.

19. Claims for Extra Costs

No claim for extra work or cost shall be allowed unless the same was done in pursuance of a written order of the Owner, as aforesaid, and the claim presented with the first estimate after the changed or extra work is done. When work is performed under the terms of subparagraph

14(c) of the General Conditions, the Contractor shall furnish satisfactory bills, payrolls, and vouchers covering all items of cost and, when requested by the Owner, give the Owner access to accounts relating thereto.

20. Right of the Owner to Terminate Contract

If the Contractor is adjudged bankrupt, or if he makes a general assignment for the benefit of his creditors, or if a receiver is appointed on account of his insolvency, or if he persistently or repeatedly refuses or fails, except in cases for which extension of time is provided, to supply enough properly skilled workmen or proper materials, or if he fails to make the prompt payment to Subcontractors or for materials or labor, or persistently disregards laws, ordinances, rules, regulations or orders of any public authority having jurisdiction, or otherwise is guilty of a substantial violation of a provision of the Contract Documents, then the Owner, providing sufficient cause exists to justify such action, may, without prejudice to any right or remedy and after giving the Contractor and his surety, if any, seven days written notice, terminate the employment of the Contractor and take possession of the site and of all materials, equipment, tools, construction equipment and machinery thereon owned by the Contractor and may finish the work by whatever method he may deem expedient. In such case, the Contractor shall not be entitled to receive any further payment until the work is finished.

If the unpaid balance of the Contract Sum exceeds the costs of finishing the work, such excess shall be paid to the Contractor. If such costs exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or to the Owner, as the case may be, shall be in the manner provided in Section 22, and this obligation for payment shall survive the termination of the Contract.

If the work is stopped for a period of thirty days under an order of any court or other public authority having jurisdiction, or as a result of an act of government, such as a declaration of a national emergency making materials unavailable, through no act or fault of the Contractor or a Subcontractor or their agents or employees or any other persons performing any of the work under a contract with the Contractor, or if the work should be stopped for a period of thirty days by the Contractor because the Owner has not made payment thereon as provided in Section 22, then the Contractor may, upon seven additional days written notice to the Owner, terminate the Contract and recover from the Owner payment for all work executed and for any proven loss sustained upon any materials, equipment, tools, construction equipment and machinery, including reasonable profit and damages.

21. Construction Schedule

Immediately after execution and delivery of the contract, the Contractor shall deliver to the Town an estimated construction progress schedule and bar chart both electronically and in a form satisfactory to the Town showing the proposed dates of commencement and completion of each of the various subdivisions of work required under the contract documents and the monetary values associated with each segment or subdivision of work. Said proposed schedule shall not be official until accepted and approved by the Owner. Contractor shall

update this construction schedule monthly or as required by the Owner such that his work can be coordinated with the other contractual work proceeding in this area. Such schedule must provide for the coordination of work with other work being done in the Storrs Center area.

22. Payment To Contractor (Also see Sections 35 & 36)

The Town shall make monthly payments to the Contractor on the basis of a duly certified and approved estimate of the work performed under the contract. Final payment shall be made after the final completion and acceptance of all work covered by the contract.

The Contractor agrees that he will indemnify and save the Owner harmless from all claims growing out of the lawful demands of subcontractors, laborers, workmen, mechanics, materialmen, and furnishers of machinery and parts thereof, equipment, power tools, and all supplies, including commissary, incurred in the furtherance of the performance of this contract. The Contractor shall, at the Owner's request, furnish satisfactory evidence that all obligations of the nature hereinabove designated have to be paid, discharged, or waived. If the Contractor fails to do so, then the Owner may, after having served written notice on said Contractor, either pay unpaid bills, of which the Owner has written notice, direct, or withhold from the Contractor's compensation a sum of money deemed reasonably sufficient to pay any and all such lawful claims until satisfactory evidence is furnished that all liabilities have been fully discharged whereupon full payment to the Contractor shall be made in accordance with the terms of this contract, but in no event shall the provisions of this sentence be construed to impose any obligations upon the Owner to either the Contractor or his Surety.

In paying any unpaid bills of the Contractor, the Owner shall be deemed the agent of the Contractor, and any payment so made by the Owner, shall be considered as payment made under the contract by the Owner to the Contractor, and the Owner shall not be liable to the Contractor for any such payment made in good faith.

23. Acceptance of Payment as Release

The acceptance by the Contractor of payment shall be and shall operate as a release of the Owner of all claims and all liability to the Contractor for all things done or furnished in connection with this work. No payment, however, final or otherwise, shall operate to release the Contractor or his Sureties from any obligation under this contract or the performance bond.

24. Contractor's Insurance

The Contractor shall procure and maintain insurance against claims for injuries or losses to persons or property that are alleged to have arisen in connection with activities of the Contractor and any agents, representatives, subcontractors or employees. Insurance companies must be licensed by the State of Connecticut or otherwise acceptable to the Town. The cost of such insurance, including required endorsements or amendments, shall be the

sole responsibility of the Contractor. Full disclosure of any non-standard exclusions is required for all required coverages.

The coverage indicated below at not less than the specified limits are required for this project (agreement):

- A. Comprehensive General Liability coverage naming the TOWN and STATE OF CONNECTICUT as additional insured, written on an occurrence basis: \$1,000,000 per occurrence, \$2,000,000 aggregate
- B. Automobile Liability coverage, including coverage for hired or borrowed autos: \$2,000,000 per accident-combined single limit
- C. Workers' Compensation Coverage, (as per Connecticut law and custom) and employer's liability coverage \$100,000/\$500,000/\$100,000 limits or "Certificate of Solvency" issued by Connecticut Workers' Compensation Commission for self-insurers.

The required insurance form shall be certified by a duly authorized representative of the insurer(s) and incorporated into and made a part of this agreement. Properly executed certificates signifying adequate coverage in effect for the duration of the contract with renewal certificates issued not less than 30 days prior to expiration of a policy period, must be submitted with the bid on file with the Town prior to commencement of this project (agreement).

Insurance as required by the Town of Mansfield shall be furnished by the Contractor to the Town. The Town of Mansfield shall be listed as "also insured" by name on all insurance certifications. Failure of the Contractor to maintain all required insurance in accordance with the Contract shall constitute a material breach of the Contract and shall subject the Contractor the Town's withholding liquidated damages from the Contractor in the amount of ten percent (10%) of the total Contract price, as it may be amended by construction orders, subject to the continued commercial availability of such coverage.

The Contractor shall not commence work under this contract until he has obtained all the insurance required above of the Information for Bidders attached hereto and such insurance has been approved by the Town. The Contractor shall furnish the Town with certificates showing the type, amount, class of operations covered, effective dates, and dates of expiration of policies. Such certificates shall also contain substantially the following statements: "The insurance covered by this certificate will not be canceled or materially altered, except after ten (10) days written notice has been received by the Town." The Town of Mansfield shall be listed as "additional insured" by name on all such insurance certifications.

25. Assignments

The Contractor shall not assign the whole or any part of this contract or any monies due or to become due hereunder without written consent of the Owner. In case the Contractor assigns all or any part of any monies due or to become due under this contract, the instrument

of assignment shall contain a clause substantially to the effect that it is agreed that the right of the assignee in and to any monies due or to become due to the Contractor shall be subject to prior claims of all persons, firms and corporations for services rendered or materials supplied for the performance of the work called for in this contract.

26. Subcontracting

The Contractor may utilize the services of specialty subcontractors on those parts of the work which, under normal contracting practices, are performed by specialty subcontractors.

The Contractor shall not award any work to any subcontractor without prior written approval of the Owner, which approval will not be given until the Contractor submits to the Owner a written statement concerning the proposed award to the subcontractor, which statement will contain such information as the Owner may require.

The Contractor shall be as fully responsible to the Owner for the acts and omissions of his subcontractors, and of persons either directly or indirectly employed by them, as he is for the acts and omissions of persons directly employed by him.

The Contractor shall cause appropriate provisions to be inserted in all subcontracts relative to the work to bind subcontractors to the Contractor by terms of the General Conditions and other contract documents insofar as applicable to the work of the subcontractors and to give the Contractor the same power as regards terminating any subcontract that the Owner may exercise over the Contractor under any provision of the contract documents.

Nothing contained in this contract shall create any contractual relation between any subcontractor and the Owner.

27. Department of Facilities Management Authority

The Town Director of Facilities Management or his authorized representative shall give all orders and directions contemplated under this contract and specifications relative to the execution of the work. The Director of Facilities Management shall determine the amount, quality, acceptability, and fitness of the work which is to be paid for under this contract and shall decide all questions which may arise in relation to said work and the construction thereof. The Director of Facilities Management estimates and decisions shall be final and conclusive, except as herein otherwise expressly provided. In case any question shall arise between the parties hereto relative to said contract or be specifications, the determination or decision of the Director of Facilities Management shall be a condition precedent to the right of the Contractor to receive any money or payment for work under this contract affected in any manner or to any extent by such question.

The Director of Facilities Management, in consultation with the project architect where applicable, shall decide the meaning and intent of any portion of the specifications and of any plan or drawings where the same may be found obscure or be in dispute. Any differences or conflicts in regard to their work which may arise between the Contractor under this

contract and other contractors performing work for the Owner shall be adjusted and determined by the Director of Facilities Management.

28. Quantities of Estimate

Whenever the estimated quantities of work to be done and materials to be furnished on a unit price basis under this contract are shown in any of the documents including the proposal, they are given for use in comparing proposals, and the right is expressly reserved, except as herein otherwise specifically limited, to increase or diminish them as may be deemed reasonably necessary or desirable by the Owner to complete the work contemplated by this contract, and such increase or diminution shall in no way vitiate this contract, nor shall any such increase or diminution give cause for claims or liability for damages.

29. Conflicting Conditions

See Paragraph 27.

30. Notice and Service Thereof

Any notice to any Contractor from the Owner relative to any part of this contract shall be in writing and considered delivered and the service thereof completed, when said notice is posted, by certified or registered mail, to said Contractor at his last given address, or delivered in person to said Contractor or his authorized representative on the work.

31. Required Provisions Deemed Inserted

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein, and the contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the application of either party the contract shall forthwith be physically amended to make such insertion or correction.

32. Safety and Health Regulations for Construction

In order to protect the lives and health of his employees under the contract, the Contractor shall comply with all pertinent provisions of the Contract Work Hours and Safety Standards Act, as amended, commonly known as the Construction Safety Act as pertains to health and safety standards; and shall maintain an accurate record of all cases of death, occupational disease, and injury requiring medical attention or causing loss of time from work, arising out of and in the course of employment on work under the contract.

33. Suspension of Work

Should the Owner be prevented or enjoined from proceeding with work or from authorizing its prosecution either before or after its prosecution, by reason of any litigation, the Contractor shall not be entitled to make or assert claim for damage by reason of said delay,

but time for completion of the work will be extended to such reasonable time as the Owner may determine will compensate for time lost by such delay with such determination to be set forth in writing.

34. Equal Opportunity Provisions

Contractor must also complete the certification of non-segregated facilities on page 37.

35. Anti-Kick Back Provisions

In accordance with the provisions of the Copeland Anti-Kick Back Act (18 U.S.C. 874) as supplemented in Department of Labor Relations (29 CFR, Part 3), the Contractor is prohibited from inducing, by any means, any person employed in the construction, completion or repair of this project, to give up any part of the compensation to which he is otherwise entitled.

CERTIFICATION OF NONSEGREGATED FACILITIES

Applicable to federally assisted construction contracts and related subcontracts exceeding \$10,000 which are not exempt from the Equal Opportunity clause.

The federally assisted construction contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of this establishments, and that he does not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The federally assisted construction contractor certifies further that he will not maintain or provide for his employees any segregated facilities at any of his establishments and that he will not permit his employees to perform their services at any location under his control where segregated facilities are maintained. The federally assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity clause in this contract. As used in this certification, the term “segregated facilities” means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicitly directive or are in fact segregated on the basis of race, creed, color or national origin, because of habit, local custom or otherwise. The federally assisted construction contractor agrees that (except where he has obtained identical certifications from proposed contractors for specific time periods) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause, and that he will retain such certification in his files.

Signature

Date

Name and Title of Signer (please type)

Note: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

36. Application for Payment

Contractor shall utilize AIA form G702 including continuation sheets when required. For each item, provide a column for listing: Item Number; Description of work; Scheduled Value; Previous Applications; Work in Place and Stored Materials under this Application; Authorized Change Orders; Total Completed and Stored to Date of Application; Percentage of Completion; Balance to Finish; and Retainage. Application shall be typewritten. Certification shall be by signature of authorized officer. Contractor shall list each authorized Change Order as an extension on continuation sheet, listing Change Order number and dollar amount as for an original item of work. Contractor shall prepare Application for Final Payment as specified in paragraph 42 Closeout Provisions, submit three copies of each Application for Payment and an updated construction schedule with each Application for Payment monthly. When Owner requires substantiating information the Contractor shall submit data justifying dollar amounts in question. The Contractor shall provide one copy of data with cover letter for each copy of submittal. Show Application number and date, and line item by number and description.

37. Measurement and Payment

Each lump sum or unit price stated shall constitute full compensation as herein specified for each item of work completed in accordance with the drawings and specifications. No separate payment will be made for cleaning up. Such clean up shall be considered incidental to the item to which it applies and shall be included in the price for that item. In all items involving excavation, the price shall include doing the entire excavation necessary for the proper installation and function of that item. Where rock is encountered, the quantity shall be considered as that for rock excavation.

38. Submittals

Each submittal shall be made to the Owner and shall include three copies for review and distribution. Contractor shall sequentially number the transmittal forms. Resubmittals are to have an original number with an alphabetic suffix. Contractor shall identify Project, Contractor, Subcontractor or supplier, pertinent Drawing sheet and detail number(s), and specification Section number as appropriate. Contractor shall apply Contractor's stamp, signed or initialed certifying that review, verification of Products required, field dimensions, adjacent construction Work and coordination of information is in accordance with the requirements of the Work and Contract Documents. Submittals shall be scheduled to expedite the Project. Contractor shall identify variations from Contract Documents and Product or system limitations which may be detrimental to successful performance of the completed work. Contractor shall revise and resubmit submittals as required, identify all changes made since previous submittal. Contractor shall distribute copies of reviewed submittals to concerned parties. Contractor shall instruct parties to promptly report any inability to comply with provisions.

39. Shop Drawings

Each Subcontractor shall submit the number of reproductions which Contractor requires, plus three copies which will be retained by Owner. After review, the Contractor will distribute in accordance with the above article on Procedures and for Record Documents described in paragraph 41 - Contract Closeout Procedures.

40. Samples

The Contractor shall submit samples, when specified in individual specification sections, to illustrate functional and aesthetic characteristics of the Product, with integral parts and attachment devices. The Contractor shall coordinate sample submittals for interfacing work.

41. Warranty

The Contractor warrants and guarantees to the Town and the Engineer that all materials and equipment will be new unless otherwise specified, and that all Work will be of good quality and free from faults or defects and in accordance with the requirements of the Contract Documents and of any inspections, tests or approvals referred to in herein. All unsatisfactory Work, all faulty or defective Work and all Work not conforming to the requirements of the Contract Documents or of such inspections, tests or approvals shall be considered defective. Prompt notice of all defects shall be given to the Contractor. All defective Work, whether or not in-place, may be rejected. This guarantee shall be for a period of one (1) year from and after the date of completion and acceptance of the work as stated in the final estimate.

If at any time within said period of guarantee any part of the work requires repairing, correction, or replacement, the Owner may notify the Contractor in writing to make the required repairs, correction, or replacements. If the Contractor neglects to commence making such repairs, corrections, or replacements to the satisfaction of the Owner within three (3) days from the date of receipt of such notice, or having commenced fails to prosecute such work with diligence, the Owner may employ other persons to make the same, and all direct and indirect costs of making said repairs, corrections, or replacements, including compensation for additional professional services, shall be paid by the Contractor.

It is hereby, however, agreed and understood that this guarantee shall not include any repairs or replacements made necessary by any cause or causes other than improper, inadequate, or defective work, quality of work, materials, or design by the contractor or those employed directly or indirectly by the Contractor.

42. Contract Closeout Procedures

The Contractor shall submit written certification that Contract Documents have been reviewed, Work has been inspected, and that Work is complete in accordance with Contract Documents and ready for Owner's inspection. The Contractor shall provide submittals to Owner that are required by governing or other authorities, and submit final Application for Payment identifying total adjusted Contract sum, previous payments and sum remaining due.

43. Project Record Documents

The Contractor shall maintain on site, one set of the following record documents; record actual revisions to the Work:

- A. Contract Drawings
- B. Specifications
- C. Change Orders and other Modifications to the Contract

44. Cleaning Up

During its progress, the work and the adjacent areas affected thereby shall be kept clean and all rubbish, surplus materials, and unneeded construction equipment shall be removed and all damage repaired so that the public and property owners will be inconvenienced as little as possible. Where material or debris has washed or flowed into or been placed in existing watercourses, ditches, gutters, drains, pipes, structures, work done under this Contract or elsewhere during the course of the Contractor's operations, such material or debris shall be entirely removed and satisfactorily disposed of during the progress of the work, and the ditches, drains, pipes, structures, and work, etc., shall upon completion of the work, be left in a clean and neat condition. On or before the completion of the work, the Contractor shall, unless otherwise especially directed or permitted in writing, tear down and remove all temporary buildings and structures built by him; shall remove all temporary works, tools and machinery or other construction equipment furnished by him; shall remove, acceptably disinfect and cover all organic matter and material containing organic matter in, under and around privies, houses and other buildings used by him; shall remove all rubbish from any grounds which he has occupied; and shall leave the roads and all parts of the premises and adjacent property affected by his operations in a neat and satisfactory condition. The Contractor shall restore or replace, when and as directed, any public or private property damaged by his work, equipment or employees, to a condition at least equal to that existing immediately prior to the beginning of operations. To this end, the Contractor shall do as required, all necessary roadway or driveway, walk and landscaping work. Suitable materials, equipment and methods shall be used for such restoration. The Contractor shall thoroughly clean all materials and equipment installed by him and his subcontractors, and on completion of the work shall deliver it undamaged and in fresh and new appearing condition

45. Public Assignment

The Contractor or Subcontractor offers and agrees to assign to the public purchasing body all right, title and interest in and to all causes of action it may have under Section 4 of the Clayton Act, 15 U.S.C. 15 or under Chapter 624 of the General Statutes of Connecticut, arising out of the purchase of services, property or intangibles of any kind pursuant to a public purchase contract or subcontract. This assignment shall be made and become effective

at the time the public purchasing body awards or accepts such contract without further acknowledgement by the parties.

46. State of CT Prevailing Wage Rates

This project qualifies under CT State Statutes for the use of the State of CT prevailing wage rates. These rates are included in the contract documents and must be utilized for all labor used in fulfilling this contract.

SPECIAL CONDITIONS

1. General

The Contractor shall furnish all tools, labor, equipment, materials and incidentals to complete all items of work for the construction of the project as set forth on the plans and in these contract documents in a manner described in these contract documents, general conditions, special conditions, Special Provisions and Technical Provisions.

2. Sampling, Inspection and Testing of Materials

The Town reserves the right to test any material which previously had been tested and accepted, and to reject materials in transit or at the point of delivery which do not meet requirements of the specifications, which are contaminated, or which are damaged.

3. Safety

The Contractor shall comply with all requirements of the Occupational Safety and Health Act (OSHA). See also the safety provisions of the General Conditions.

4. Enumeration of Plans

There are no plan sheets required as part of these contract documents.

5. Delays

The Town shall not be considered in default under this agreement or responsible for any delay resulting from threatening weather conditions, equipment accident or failure, strike or labor dispute, natural disaster, fuel shortage, material shortage, or delay due to any other circumstance beyond the control of the Town.

6. Protection of Existing Road and Facilities

Care shall be taken to insure that work does not in any way damage the existing facilities.

7. Prevailing Wage Rates

State of CT Prevailing Wage Rates shall be utilized for all Labor used in fulfilling this contract. These wage rates and the forms and information provided by the State are attached to and made a part of this contract.

8. Hurricane Protection

Should hurricane warnings be issued, the Contractor shall take every precaution to minimize danger to persons, to the work and to adjacent property. These precautions shall include

removing all loose materials, tools, and equipment from exposed locations, and removing all scaffolding and other temporary work.

9. Protection against High Water and Storm

The contractor shall take all precautions to prevent damage to work or equipment by high water or by storms. The Owner may prohibit the carrying out of work at any time when in his judgment high waters or storm conditions are unfavorable or unsuitable or at any time regardless of the weather when proper precautions are not being taken to safeguard previously constructed work or work in progress.

10. Lights, Barriers, Watchmen and Indemnity

The Contractor shall erect and maintain such barriers, lighting, warning lights, danger warning signals, and signs that will prevent accidents during the construction work and protect the work and insure the safety of personnel and the public at all times and places; the Contractor shall indemnify and protect the Owner and the Engineer in every respect from injury or damage whatsoever caused by any act of neglect by the Contractor or his subcontractors or their servants or agents, including any claim arising out of failure to erect and maintain sufficient railing or fence as required by Section 13A-111 Connecticut General Statutes from claims of defect in violation of 13A-149 Connecticut General Statutes.

11. Night Work

Night work shall be permitted provided the lighting, safety and other facilities which are deemed necessary shall be provided by the Contractor. Compensation for this work shall be considered as having been included in the prices stipulated for the appropriate items of work as listed in the bid, and no extra compensation will be paid by the Owner. Night work on Thursdays, Fridays, Saturdays, or holidays shall not be permitted.

12. Shoring

If shoring is required to safely excavate or work within an excavated area, according to OSHA regulations, the cost of the shoring materials, erection and removal shall be considered as having been included in the prices stipulated for the appropriate items of work as listed in the bid, and no extra compensation will be paid by the owner.

13. Working Hours

Hours of Work shall be arranged in advance with the Town prior to the commencement of work.

14. Time of Completion

Bidder must agree to commence work within 10 days of the execution of this contract, and to fully complete all work by August 26, 2016 completion date for that project. Bidder must

agree also to pay as liquidated damages, the sum of \$500 for each calendar day beyond the aforementioned completion date (refer also to Section 1.08, ConnDOT Form 816, Prosecution and Progress in the Special Provisions) as hereinafter provided in the General Conditions.

15. Private Property/Construction of Adjoining Buildings

Attention is drawn to the fact that some of this work will take place adjacent to other building construction on private property. The greatest care shall be taken to avoid any trespass onto said private property without the express written permission of the owner. Any inadvertent damage to private property caused by the contractor shall be repaired to the satisfaction of the owner and shall be reported immediately to the Town of Mansfield Engineering representative.

16. Notice to Contractor - Permits/Permit Applications

The Contractor is hereby notified that all permit and permit applications contained herein shall be made a part of this contract. In the absence of the permit, the application shall be binding. If at the time the permit is received its contents differ from that which is outlined in the application, the permit shall govern. Should the permit be received after the receipt of bids and the permit requirements significantly changes the character of the work, adjustment will be made to the contract in accordance with the appropriate articles in Section 1.04, Form 816. The requirements and conditions set forth in the permit and permit application shall be binding on the Contractor just as any other specification.

17. Job Coordination Meetings

Job coordination meetings will be conducted during the project and a responsible representative of the contractor will be required to attend each meeting. The representative must be knowledgeable about contractor's work including knowledge of the design, status of materials and deliveries, and have the authority to make schedule commitments.

18. Phasing

There is no phasing for this project.

NOTICE TO CONTRACTOR: UTILITY SPECIFICATIONS

The contractor is hereby notified that all utility specifications contained elsewhere herein shall be made a part of this contract, and that the contractor shall be bound to comply with all requirements of such specifications. The requirements and conditions set forth in the subject specifications shall be binding on the contractor just as any other specification would be.

NOTICE TO CONTRACTOR: CONTRACTOR TRAINING REQUIREMENT FOR 10-HOUR OSHA CONSTRUCTION SAFETY AND HEALTH COURSE

In accordance with Connecticut General Statute 31-53b and Public Act No. 08-83, the Contractor is required to furnish proof that any person performing the work of a mechanic, laborer or worker pursuant to the classifications of labor under section 31-53, has completed a course of at least ten hours in duration in construction safety and health approved by the Federal Occupational Safety and Health Administration or, has completed a new miner training program approved by the Federal Mine Safety and Health Administration in accordance with 30 CFR 48 or, in the case of telecommunications employees, has completed at least ten hours of training in accordance with 29 CFR 1910.268.

Proof of compliance with the provisions of the statute shall consist of a student course completion card issued by the federal Occupational Safety and Health Administration, or other such proof as deemed appropriate by the Commissioner of the Connecticut Department of Labor, dated no earlier than five years prior to the commencement of the project. Each employer shall affix a copy of the construction safety course completion card for each applicable employee to the first certified payroll submitted to the Department of Transportation on which the employee's name first appears.

Any employee required to complete a construction safety and health course as required that has not completed the course, shall have a maximum of fourteen (14) days to complete the course. If the employee has not been brought into compliance, they shall be removed from the project until such time as they have completed the required training.

This section does not apply to employees of public service companies, as defined in section 16-1 of the 2008 supplement to the General Statutes, or drivers of commercial motor vehicles driving the vehicle on the public works project and delivering or picking up cargo from public works projects provided they perform no labor relating to the project other than the loading and unloading of their cargo.

The internet website for the federal Occupational Safety and Health Training Institute is <http://www.osha.gov/fso/ote/training/edcenters>.

Additional information regarding this statute can be found at the Connecticut Department of Labor website, <http://www.ctdol.state.ct.us/wgwkstnd/wgemenu.htm>.

Any costs associated with this notice shall be included in the general cost of the contract. In addition, there shall be no time granted to the contractor for compliance with this notice. The contractor's compliance with this notice and any associated regulations shall not be grounds for claims as outlined in Section 1.11 – "Claims".

NOTICE TO CONTRACTOR: NON-DISCRIMINATION

The following subsections are set forth here as required by section 4a-60 of the Connecticut General Statutes and specifically apply to this contract: Non-Discrimination

(a) For purposes of this Section, the following terms are defined as follows:

- i. "Commission" means the Commission on Human Rights and Opportunities;
- ii. "Contract" and "contract" include any extension or modification of the Contract or contract;
- iii. "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;
- iv. "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.
- v. "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;
- vi. "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;
- vii. "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;
- viii. "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;
- ix. "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and
- x. "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, (2) a quasi-public agency, as defined in Conn. Gen. Stat. Section 1-120, (3) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in Conn. Gen. Stat. Section 1-267, (4) the federal government, (5) a foreign government, or (6) an agency of a subdivision, agency, state or government described in the immediately preceding enumerated items (1), (2), (3), (4) or (5).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and Connecticut General Statutes §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes §§ 46a-56, 46a-68e and 46a-68f; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and Connecticut General Statutes § 46a-56. If the contract is a public works contract, the Contractor agrees and warrants that he will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works projects.

(c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed

by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes §46a-56; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g)(1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to Connecticut General Statutes § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and Connecticut General Statutes § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with Connecticut General Statutes § 46a-56; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

NOTICE TO CONTRACTOR: EXECUTIVE ORDERS

The Agreement is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Agreement as if they had been fully set forth herein. At the contractor's request, TOWN shall provide a copy of these orders to the contractor. The Agreement may also be subject to Executive Order No. 7C of Governor M. Jodi Rell, promulgated July 13, 2006, concerning contracting reforms and Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services, in accordance with their respective terms and conditions.

NOTICE TO CONTRACTOR: STATE ELECTION ENFORCEMENT COMMISSION (SEEC) CAMPAIGN CONTRIBUTION BAN

This Agreement is subject to the provisions of the State Election Enforcement Commission (SEEC) Campaign Contribution Ban. For all State Contracts as defined in P.A. 07-1 having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See SEEC Form (below):

CONNECTICUT STATE ELECTIONS ENFORCEMENT COMMISSION Rev. 1/11

NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OF CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

This notice is provided under the authority of Connecticut General Statutes §9-612(g)(2), as amended by P.A. 10-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined later).

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes TOWN committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall knowingly solicit contributions from the state contractor's or prospective state contractor's employees or from a subcontractor or principals of the subcontractor on behalf of (i) an

exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to \$2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to \$2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than \$5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to “Lobbyist/Contractor Limitations.”

DEFINITIONS

“State contractor” means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Prospective state contractor” means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. “Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

“Principal of a state contractor or prospective state contractor” means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect to a state contract*, (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

“State contract” means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale

or Agreement of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

"Subcontractor" means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. "Subcontractor" does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a subcontractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business

entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

Date: _____

Town: Mansfield

6FR Part 31.

Appendix A
State of CT – Prevailing Wage Rates

Project: Replacement Of Boilers At Annie Vinton Elementary School

**Minimum Rates and Classifications
for Building Construction**

ID# : B 22182

**Connecticut Department of Labor
Wage and Workplace Standards Division**

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number:

Project Town: Mansfield

State#:

FAP#:

Project: Replacement Of Boilers At Annie Vinton Elementary School

CLASSIFICATION	Hourly Rate	Benefits
1a) Asbestos Worker/Insulator (Includes application of insulating materials, protective coverings, coatings, & finishes to all types of mechanical systems; application of firestopping material for wall openings & penetrations in walls, floors, ceilings	35.75	28.82
<hr/>		
1b) Asbestos/Toxic Waste Removal Laborers: Asbestos removal and encapsulation (except its removal from mechanical systems which are not to be scrapped), toxic waste removers, blasters.**See Laborers Group 7**		
<hr/>		
1c) Asbestos Worker/Heat and Frost Insulator	37.15	27.56

As of: Tuesday, May 17, 2016

Project: Replacement Of Boilers At Annie Vinton Elementary School

2) Boilermaker	35.24	25.01
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3a) Bricklayer, Cement Mason, Concrete Finisher (including caulking), Stone Masons	33.48	29.16 + a
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3b) Tile Setter	34.30	24.15
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3c) Terrazzo Mechanics and Marble Setters	31.69	22.35
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3d) Tile, Marble & Terrazzo Finishers	26.43	20.59
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3e) Plasterer	33.48	29.16
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As of: Tuesday, May 17, 2016

Project: Replacement Of Boilers At Annie Vinton Elementary School

-----LABORERS-----

4) Group 1: Laborers (common or general), acetylene burners, carpenter tenders, concrete specialists, wrecking laborers, fire watchers.	28.55	18.90 + a
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4a) Group 2: Mortar mixers, plaster tender, power buggy operators, powdermen, fireproofers/mixer/nozzleman (Person running mixer and spraying fireproof only).	28.80	18.90 + a
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4b) Group 3: Jackhammer operators/pavement breaker, mason tender (brick), mason tender (cement/concrete), forklift operators and forklift operators (masonry).	29.05	18.90 + a
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4c) **Group 4: Pipelayers (Installation of water, storm drainage or sewage lines outside of the building line with P6, P7 license) (the pipelayer rate shall apply only to one or two employees of the total crew who primary task is to actually perform the mating of pipe sections) P6 and P7 rate is \$26.80.	28.80	18.90 + a
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4d) Group 5: Air track operator, sand blaster and hydraulic drills.	29.30	18.90 + a
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4e) Group 6: Blasters, nuclear and toxic waste removal. 31.55 18.90 + a

4f) Group 7: Asbestos/lead removal and encapsulation (except it's removal from mechanical systems which are not to be scrapped). 29.55 18.90 + a

4g) Group 8: Bottom men on open air caisson, cylindrical work and boring crew. 28.38 18.90 + a

4h) Group 9: Top men on open air caisson, cylindrical work and boring crew. 27.86 18.90 + a

4i) Group 10: Traffic Control Signalman 16.00 18.90 + a

5) Carpenter, Acoustical Ceiling Installation, Soft Floor/Carpet Laying, Metal Stud Installation, Form Work and Scaffold Building, Drywall Hanging, Modular-Furniture Systems Installers, Lathers, Piledrivers, Resilient Floor Layers. 31.45 23.54

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5a) Millwrights	31.84	23.99
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6) Electrical Worker (including low voltage wiring) (Trade License required: E1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9)	38.20	23.72+3% of gross wage
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7a) Elevator Mechanic (Trade License required: R-1,2,5,6)	49.00	29.985+a+b
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-----LINE CONSTRUCTION-----

Groundman	24.99	6.25%+11.81
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Linemen/Cable Splicer	45.43	6.25%+20.70
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8) Glazier (Trade License required: FG-1,2)	35.08	19.35 + a
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9) Ironworker, Ornamental, Reinforcing, Structural, and Precast Concrete Erection	34.47	31.09 + a
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----OPERATORS----

Group 1: Crane handling or erecting structural steel or stone, hoisting engineer 2 drums or over, front end loader (7 cubic yards or over), work boat 26 ft. and over and Tunnel Boring Machines. (Trade License Required)	38.55	23.55 + a
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Group 2: Cranes (100 ton rate capacity and over); Excavator over 2 cubic yards; Piledriver (\$3.00 premium when operator controls hammer); Bauer Drill/Caisson. (Trade License Required)	38.23	23.55 + a
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Group 3: Excavator; Backhoe/Excavator under 2 cubic yards; Cranes (under 100 ton rated capacity), Grader/Blade; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Fine Grade. (slopes, shaping, laser or GPS, etc.). (Trade License Required)	37.49	23.55 + a
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Group 4: Trenching Machines; Lighter Derrick; Concrete Finishing Machine; CMI Machine or Similar; Koehring Loader (Skooper).	37.10	23.55 + a
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Group 5: Specialty Railroad Equipment; Asphalt Paver; Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24" Mandrell)	36.51	23.55 + a
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Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller; Pile Testing Machine.	36.51	23.55 + a
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Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).	36.20	23.55 + a
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Group 7: Asphalt roller, concrete saws and cutters (ride on types), vermeer concrete cutter, Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24" and under Mandrell).	35.86	23.55 + a
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Group 8: Mechanic, grease truck operator, hydroblaster; barrier mover; power stone spreader; welding; work boat under 26 ft.; transfer machine.	35.46	23.55 + a
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Group 9: Front end loader (under 3 cubic yards), skid steer loader regardless of attachments, (Bobcat or Similar): forklift, power chipper; landscape equipment (including Hydroseeder).	35.03	23.55 + a
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Group 10: Vibratory hammer; ice machine; diesel and air, hammer, etc.	32.99	23.55 + a
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Group 11: Conveyor, earth roller, power pavement breaker (whiphammer), robot demolition equipment.	32.99	23.55 + a
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Group 12: Wellpoint operator.	32.93	23.55 + a
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Group 13: Compressor battery operator.	32.35	23.55 + a
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Group 14: Elevator operator; tow motor operator (solid tire no rough terrain).	31.21	23.55 + a
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Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator.	30.80	23.55 + a
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Group 16: Maintenance Engineer/Oiler.	30.15	23.55 + a
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Group 17: Portable asphalt plant operator; portable crusher plant operator; portable concrete plant operator.	34.46	23.55 + a
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Group 18: Power safety boat; vacuum truck; zim mixer; sweeper; (Minimum for any job requiring a CDL license).	32.04	23.55 + a
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-----PAINTERS (Including Drywall Finishing)-----

10a) Brush and Roller	31.52	19.35
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10b) Taping Only/Drywall Finishing	32.27	19.35
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10c) Paperhanger and Red Label	32.02	19.35
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10e) Blast and Spray	34.52	19.35
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11) Plumber (excluding HVAC pipe installation) (Trade License required: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2)	40.62	28.91
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12) Well Digger, Pile Testing Machine	33.01	19.40 + a
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13) Roofer (composition)	34.12	18.58
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14) Roofer (slate & tile)	34.62	18.58
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15) Sheetmetal Worker (Trade License required for HVAC and Ductwork: SM-1,SM-2,SM-3,SM-4,SM-5,SM-6)	35.74	33.22
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16) Pipefitter (Including HVAC work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4, G-1, G-2, G-8 & G-9)	40.62	28.91
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-----TRUCK DRIVERS-----

17a) 2 Axle	28.83	21.39 + a
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17b) 3 Axle, 2 Axle Ready Mix	28.93	21.39 + a
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17c) 3 Axle Ready Mix	28.98	21.39 + a
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17d) 4 Axle, Heavy Duty Trailer up to 40 tons	29.03	21.39 + a
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17e) 4 Axle Ready Mix	29.08	21.39 + a
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17f) Heavy Duty Trailer (40 Tons and Over)	29.28	21.39 + a
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17g) Specialized Earth Moving Equipment (Other Than Conventional Type on-the-Road Trucks and Semi-Trailers, Including Euclids)	29.08	21.39 + a
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18) Sprinkler Fitter (Trade License required: F-1,2,3,4)	41.37	20.77 + a
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19) Theatrical Stage Journeyman	25.76	7.34
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Welders: Rate for craft to which welding is incidental.

**Note: Hazardous waste removal work receives additional \$1.25 per hour for truck drivers.*

***Note: Hazardous waste premium \$3.00 per hour over classified rate*

ALL Cranes: When crane operator is operating equipment that requires a fully licensed crane operator to operate he receives an extra \$3.00 premium in addition to the hourly wage rate and benefit contributions:

1) Crane handling or erecting structural steel or stone; hoisting engineer (2 drums or over)

2) Cranes (100 ton rate capacity and over) Bauer Drill/Caisson

3) Cranes (under 100 ton rated capacity)

Crane with 150 ft. boom (including jib) - \$1.50 extra

Crane with 200 ft. boom (including jib) - \$2.50 extra

Crane with 250 ft. boom (including jib) - \$5.00 extra

Crane with 300 ft. boom (including jib) - \$7.00 extra

Crane with 400 ft. boom (including jib) - \$10.00 extra

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate".

Apprentices duly registered under the Commissioner of Labor's regulations on "Work Training Standards for Apprenticeship and Training Programs" Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyman instructing and supervising the work of each apprentice in a specific trade.

The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project.

Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.

It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.

The annual adjustments will be posted on the Department of Labor's Web page: www.ct.gov/dol. For those without internet access, please contact the division listed below.

The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.

All subsequent annual adjustments will be posted on our Web Site for contractor access.

Contracting Agencies are under no obligation pursuant to State labor law to pay any increase due to the annual adjustment provision.

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Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage

All Person who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

~~Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.

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